

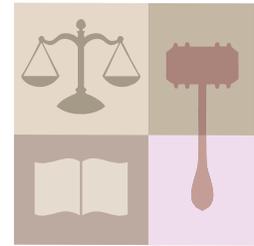
**Hawaii Association of Health Underwriters**  
**Monthly Membership Meeting - BREAKFAST**  
**Wednesday, January 31, 2007**

**Time:** 7:30 a.m. – 11:00 a.m.

**Location:** Hale Koa Hotel  
2055 Kalia Road

**Cost:** \$30 for HAHU Members  
\$60 for Non-members

- Payment may be made at breakfast
- No-Shows will be billed the cost of the breakfast



**Speakers:** Will Lee  
John D'Amato  
**D'Amato & Aguinaldo LLC**

**Topic:** *ERISA COMPLIANCE/UPDATES*

The *Employee Retirement Income Security Act of 1974 (ERISA)* is a federal law that regulates most voluntarily established pension and health plans in private industry to provide protection for individuals in these plans.

ERISA requires plans to provide participants with plan information including important information about plan features and funding; provides fiduciary responsibilities for those who manage and control plan assets; requires plans to establish a grievance and appeals process for participants to get benefits from their plans; and gives participants the right to sue for benefits and breaches of fiduciary duty.

*To hear more about ERISA, its application in Hawaii under the Prepaid Health Care Act and implications of non-compliance, learn from the experts:*

**Will Lee** is an associate of the firm and is a member of the Hawai'i and California State Bars. Will obtained a B.A. in Political Science from the University of California at Berkeley in 1992 and a J.D. from Loyola Law School in 1996, where he was member of the Asian Pacific American Law Student Association.

Prior to joining the firm, Will was an investigator for seven years with the Pasadena office of the Employee Benefit Security Administration (EBSA) of the U.S. Department of Labor. In that capacity, Will conducted financial investigations of pension and welfare benefit plans, focusing on the compliance of benefit plans with ERISA and the Internal Revenue Code.

**John J. D'Amato** is the founder and managing partner of the firm. He is a graduate of Yale Law School and has been providing independent counsel in the area of benefits for more than ten years. John provides advice on the design, implementation and administration of welfare benefit plans, fringe benefit plans and qualified and nonqualified pension plans, including defined benefit, profit sharing and 401(k) plans.

**This workshop is approved for 3 Life/Health CE Credits**

*The views and opinions expressed by outside speakers or organizations are not reflective of the Hawaii Association of Health Underwriters and are presented as information for consideration only.*

Membership application available on-line at: <https://www.nahu.org/members/memapp3.cfm?chapter=HISW>

**RSVP to Patty Foley: By FRIDAY, January 26, 2007, BEFORE NOON**  
*Reservations received after RSVP deadline will not be accepted*  
email – [patty.foley@outrigger.com](mailto:patty.foley@outrigger.com)



**National Association  
of Health Underwriters**

*America's Benefits Specialists*